

TMX Group Equity Financing Statistics – October 2013 Toronto Stock Exchange, TSX Venture Exchange

November 6, 2013 (TORONTO) – TMX Group today announced its financing activity on Toronto Stock Exchange and TSX Venture Exchange for October 2013.

Toronto Stock Exchange welcomed 13 new issuers in October 2013, up from 12 the previous month and the same as October 2012. The new listings include 4 ETFs, 3 structured products, 2 real estate companies, 1 financial services company, 1 oil & gas company, 1 technology company and 1 clean technology company. Total financings raised in October 2013 increased 155% from September 2013, but was down 4% year-over-year. In October 2013, the total number of financings was 78, which is up from 36 the previous month and up from 60 in October 2012.

TSX Venture Exchange listed 7 new issuers in October 2013, which is down from 8 the previous month and down from 13 in October 2012. The new listings include 3 from the Capital Pool Company Program, 2 mining companies, 1 communications & media company and 1 diversified industries company. In October 2013, total financings raised increased 359% from September 2013, and increased 83% from October 2012. There were 160 financings in October 2013, compared to 125 in September 2013 and 180 in October 2012.

TMX Group consolidated trading statistics for October 2013 can be viewed at www.tmx.com.

	October 2013	September 2013	October 2012
Issuers Listed	1,554	1,556	1,569
New Issuers Listed	13	12	13
IPOs	9	9	11
Graduates from TSXV	3	2	2
Issues Listed	2,223	2,213	2,209
IPO Financings Raised	\$921,342,840	\$263,300,002	\$970,625,250
Secondary Financings Raised	\$1,769,076,537	\$585,647,294	\$1,584,990,201
Supplemental Financings Raised	\$845,743,200	\$535,698,325	\$1,125,093,269
Total Financings Raised	\$3,536,162,577	\$1,384,645,621	\$3,680,708,720
Total Number of Financings	78	36	60
Market Cap Listed Issues	\$2,266,858,904,848	\$2,174,069,691,672	\$2,138,784,210,492

Toronto Stock Exchange

Year-to-date Statistics

	2013	2012	% change
New Issuers Listed	96	98	-2.0
IPOs	72	68	+5.9
Graduates from TSXV	17	25	-32.0
IPO Financings Raised	\$4,960,894,034	\$2,746,979,455	+80.6
Secondary Financings Raised	\$13,976,846,547	\$23,531,895,318	-40.6
Supplemental Financings Raised	\$11,714,594,077	\$14,838,287,234	-21.1
Total Financings Raised	\$30,652,334,658	\$41,117,162,007	-25.5
Total Number of Financings	468	553	-15.4
Market Cap Listed Issues	\$2,266,858,904,848	\$2,138,784,210,492	+6.0



TSX Venture Exchange

	October 2013	September 2013	October 2012
Issuers Listed	2,458	2,472	2,485
New Issuers Listed	7	8	13
IPOs	4	6	11
Graduates to TSX	3	2	2
Issues Listed	2,518	2,534	2,566
IPO Financings Raised	\$1,742,500	\$10,387,810	\$14,545,676
Secondary Financings Raised (1)	\$59,756,886	\$2,462,200	\$94,782,423
Supplemental Financings Raised	\$728,511,685	\$159,190,959	\$323,076,599
Total Financings Raised	\$790,011,071	\$172,040,969	\$432,404,698
Total Number of Financings	160	125	180
Market Cap Listed Issues	\$33,724,753,498	\$33,004,033,008	\$44,371,839,068

Year-to-date Statistics

	2013	2012	% Change
New Issuers Listed	62	139	-55.4
IPOs	45	106	-57.5
Graduates to TSX	17	25	-32.0
IPO Financings Raised	\$82,683,023	\$137,547,915	-39.9
Secondary Financings Raised (1)	\$690,255,939	\$1,285,961,926	-46.3
Supplemental Financings Raised	\$2,403,944,869	\$3,271,555,447	-26.5
Total Financings Raised	\$3,176,883,831	\$4,695,065,288	-32.3
Total Number of Financings	1,332	1,536	-13.1
Market Cap Listed Issues	\$33,724,753,498	\$44,371,839,068	-23.9

(1) Secondary financings include prospectus offerings on both a treasury and secondary basis

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TMX Group welcomes the following companies that listed during October 2013:

Toronto Stock Exchange

Issuer Name	Company Symbol
Amaya Gaming Group Inc.	AYA
Canso Select Opportunities Fund	SCW
CT Real Estate Investment Trust	CRT
Difference Capital Financial Inc.	DCF
First Asset Morningstar US Momentum Index ETF	YXM
First Asset Morningstar US Value Index ETF	XXM
Gazit-Globe Ltd.	GZT
Horizons Active Floating Rate Preferred Share ETF	HFP
ING High Income Floating Rate Fund	IHL
Macquarie Global Infrastructure Income Fund	MQI
Painted Pony Petroleum Ltd.	PPY



Pattern Energy Group Inc.	PEG
Purpose High Interest Savings ETF	PSA

TSX Venture Exchange

Issuer Name	Company Symbol
Craig Wireless Systems Ltd.	CWG
Cymat Technologies Ltd.	CYM
Plymouth Realty Capital Corp.	PH.P
Pure Nickel Inc.	NIC
Savoy Ventures Inc.	SVO
WB III Acquisition corp.	WXX.P
Wise Oakwood Ventures Inc.	WOW.P

About TMX Group (TSX:X)

TMX Group's key subsidiaries operate cash and derivative markets and clearinghouses for multiple asset classes including equities, fixed income and energy. Toronto Stock Exchange, TSX Venture Exchange, TMX Select, Alpha Group, The Canadian Depository for Securities, Montreal Exchange, Canadian Derivatives Clearing Corporation, Natural Gas Exchange, BOX Options Exchange, Shorcan, Shorcan Energy Brokers, Equicom and other TMX Group companies provide listing markets, trading markets, clearing facilities, depository services, data products and other services to the global financial community. TMX Group is headquartered in Toronto and operates offices across Canada (Montreal, Calgary and Vancouver), in key U.S. markets (New York, Houston, Boston and Chicago) as well as in London, Beijing and Sydney. For more information about TMX Group, visit our website at <u>www.tmx.com</u>. Follow TMX Group on Twitter at http://twitter.com/tmxgroup.

For more information please contact:

Catherine Kee Manager, Corporate Communications TMX Group 416-814-8834 catherine.kee@tmx.com