

TMX Group Equity Financing Statistics – September 2020 Toronto Stock Exchange, TSX Venture Exchange

October 8, 2020 (TORONTO) – TMX Group today announced its financing activity on Toronto Stock Exchange (TSX) and TSX Venture Exchange (TSXV) for September 2020.

TSX welcomed 21 new issuers in September 2020, compared with 14 in both the previous month and in September 2019. The new listings were 18 exchange traded funds, two technology companies and one life sciences company. Total financings raised in September 2020 increased 209% compared to the previous month, and were up 72% compared to September 2019. The total number of financings in September 2020 was 50, compared with 49 the previous month and 37 in September 2019.

For additional data relating to the number of transactions billed for TSX, please click on the following link: <u>https://www.tmx.com/resource/en/440</u>

TSXV welcomed seven new issuers in September 2020, compared with seven in the previous month and three in September 2019. The new listings were three capital pool companies, one technology company, one clean technology company, one life sciences company and one consumer products company. Total financings raised in September 2020 decreased 22% compared to the previous month, but were up 64% compared to September 2019. There were 153 financings in September 2020, compared with 198 in the previous month and 117 in September 2019.

TMX Group consolidated trading statistics for September 2020 can be viewed at www.tmx.com.

Toronto Stock Exchange

	September 2020	August 2020	September 2019
Issuers Listed	1,637	1,622	1,574
New Issuers Listed	21	14	14
IPOs	19	11	12
Graduates from TSXV	2	3	2
Issues Listed	2,294	2,285	2,222
IPO Financings Raised	\$1,170,083,101	\$385,952,000	\$58,500,010
Secondary Financings Raised	\$3,232,443,401	\$1,007,198,259	\$2,075,618,858
Supplemental Financings Raised	\$166,967,150	\$86,250,000	\$515,258,250
Total Financings Raised	\$4,569,493,652	\$1,479,400,259	\$2,649,377,118
Total Number of Financings	50	49	37
Market Cap Listed Issues	\$3,083,923,932,758	\$3,143,163,790,511	\$3,088,178,818,647

Year-to-date Statistics

	2020	2019	% change
New Issuers Listed	136	107	+27.1
IPOs	114	86	+32.6
Graduates from TSXV	15	17	-11.8
IPO Financings Raised	\$5,173,866,768	\$1,194,064,320	+333.3



Secondary Financings Raised	\$17,277,574,567	\$14,730,247,452	+17.3
Supplemental Financings Raised	\$1,838,146,118	\$4,777,033,181	-61.5
Total Financings Raised	\$24,289,587,453	\$20,701,344,953	+17.3
Total Number of Financings	405	376	+7.7
Market Cap Listed Issues	\$3,083,923,932,758	\$3,088,178,818,647	-0.1

TSX Venture Exchange**

	September 2020	August 2020	September 2019
Issuers Listed	1,912	1,918	1,935
New Issuers Listed	7	7	3
IPOs	3	5	2
Graduates to TSX	2	3	2
Issues Listed	1,995	2,000	2,023
IPO Financings Raised	\$2,900,000	\$38,866,090	\$400,000
Secondary Financings Raised (1)	\$261,009,018	\$272,221,332	\$252,582,750
Supplemental Financings Raised	\$537,333,242	\$716,629,584	\$234,625,079
Total Financings Raised	\$801,242,260	\$1,027,717,006	\$487,607,829
Total Number of Financings	153	198	117
Market Cap Listed Issues	\$61,219,615,917	\$62,025,173,158	\$44,738,233,741

Year-to-date Statistics

	2020	2019	% Change
New Issuers Listed	43	66	-34.8
IPOs	27	56	-51.8
Graduates to TSX	15	17	-11.8
IPO Financings Raised	\$98,646,480	\$46,111,709	+113.9
Secondary Financings Raised (1)	\$1,266,447,961	\$965,183,484	+31.2
Supplemental Financings Raised	\$3,298,829,655	\$2,197,038,422	+50.1
Total Financings Raised	\$4,663,924,096	\$3,208,333,615	+45.4
Total Number of Financings	1,277	1,024	+24.7
Market Cap Listed Issues	\$61,219,615,917	\$44,738,233,741	+36.8

**Includes NEX (not applicable to New Issuers Listed, IPOs and IPO Financings Raised)

(1) Secondary financings include prospectus offerings on both a treasury and secondary basis

TMX Group does not guarantee either the completeness or the accuracy of this information. The information contained in this media release is provided for informational purposes only and you agree not to rely upon the information contained in this media release for any trading, business, or financial purposes. By using this media release, you expressly agree to the condition that TMX Group assumes no liability or responsibility for any errors or inaccuracies in this media release.



TMX Group welcomes the following companies that listed during September 2020:

Toronto Stock Exchange

Issuer Name	Company Symbol
Appili Therapeutics Inc.	APLI
Evolve Future Leadership Fund	LEAD
iShares ESG Balanced ETF Portfolio	GBAL
iShares ESG Conservative Balanced ETF Portfolio	GCNS
iShares ESG Equity ETF Portfolio	GEQT
iShares ESG Growth ETF Portfolio	GGRO
Mackenzie Balanced Allocation ETF	MBAL
Mackenzie Conservative Allocation ETF	MCON
Mackenzie Developed ex-North America Aggregate Bond Index ETF (CAD- Hedged)	QDXB
Mackenzie Developed Markets Real Estate Index ETF	QRET
Mackenzie Global Fixed Income Allocation ETF	MGAB
Mackenzie Global Infrastructure Index ETF	QINF
Mackenzie Global Sustainable Dividend Index ETF	MDVD
Mackenzie Growth Allocation ETF	MGRW
Mackenzie U.S. Aggregate Bond Index ETF (CAD-Hedged)	QUB
NCM Core Global	NCG
Nuvei Corporation	NVEI, NVEI.U
RBC Target 2026 Corporate Bond Index ETF	RQO
RBC Target 2027 Corporate Bond Index ETF	RQP
Score Media and Gaming Inc.	SCR
Vanguard Retirement Income ETF Portfolio	VRIF

TSX Venture Exchange

Issuer Name	Company Symbol
Altina Capital Corp.	ALTN.P
Deveron Corp.	FARM
Exro Technologies Inc.	EXRO
Marwest Apartment Real Estate Investment Trust	MAR.P
Reitmans (CANADA) Limited	RET
Rubicon Organics Inc.	ROMJ
Stormcrow Holdings Corp.	CROW.P

About TMX Group (TSX:X)

TMX Group operates global markets, and builds digital communities and analytic solutions that facilitate the funding, growth and success of businesses, traders and investors. TMX Group's key operations include Toronto Stock Exchange, TSX Venture Exchange, TSX Alpha Exchange, The Canadian Depository for



Securities, Montréal Exchange, Canadian Derivatives Clearing Corporation, and Trayport which provide listing markets, trading markets, clearing facilities, depository services, technology solutions, data products and other services to the global financial community. TMX Group is headquartered in Toronto and operates offices across North America (Montréal, Calgary, Vancouver and New York), as well as in key international markets including London and Singapore. For more information about TMX Group, visit our website at www.tmx.com. Follow TMX Group on Twitter: @TMXGroup.

For more information please contact:

Catherine Kee Senior Manager, Corporate Communications and Media Relations TMX Group 416-814-8834 <u>catherine.kee@tmx.com</u>